

STATEMENT CLAIMING SMALL ENTITY STATUS  
37 CFR 1.27(g)-NONPROFIT ORGANIZATION

DOCKET NUMBER: 3589.1006-000  
(formerly 08321-0185 US1)

Applicant or Patentee: Carlo M. Croce and Frances K. Huebner  
Application or Patent No.: 09/832,424  
Filed or Issued: April 11, 2001  
Title: MUIR-TORRE-LIKE SYNDROME IN FHIT DEFICIENT MICE

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION Thomas Jefferson University  
ADDRESS OF NONPROFIT ORGANIZATION 1020 Walnut Street  
Philadelphia, Pennsylvania 19107

TYPE OF NONPROFIT ORGANIZATION:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C.501(a) and 501(c)(3))  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF  
LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED  
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

I hereby state that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

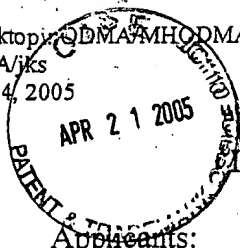
Each additional person, concern, or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.  
☒ each such person, concern, or organization is listed below.

Crogen, Inc.  
233 South 10th Street, Room 1050  
Philadelphia, Pennsylvania 19107

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Steven E. McKenzie, M.D., Ph.D.  
TITLE IN ORGANIZATION OF PERSON SIGNING Vice President for Science Policy and Technology Transfer  
ADDRESS OF PERSON SIGNING 1015 Walnut Street, Room 705, Philadelphia, PA 19107  
SIGNATURE Steven E. McKenzie MD PhD DATE 2/15/05



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Carlo M. Croce and Frances K. Huebner

Application No.: 09/832,424 Group Art Unit: 1632

Filed: April 11, 2001 Examiner: Michael C. Wilson

Confirmation No.: 9552

Title: MUIR-TORRE-LIKE SYNDROME IN FHIT DEFICIENT MICE

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
3/2/05	<i>Donna Boudreau</i>
Date	Signature
DONNA BOUDREAU	
Typed or printed name of person signing certificate	

REVOCATION OF POWERS OF ATTORNEY  
AND APPOINTMENT OF NEW ATTORNEYS AND/OR AGENTS  
AND CHANGE OF CORRESPONDENCE ADDRESS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Thomas Jefferson University, a Corporation duly organized under the laws of the Commonwealth of Pennsylvania, is the assignee of the entire right, title and interest in the above-identified application. Thomas Jefferson University hereby appoints the attorneys and/or agents associated with:

**Customer No. 021005**

Hamilton, Brook, Smith & Reynolds, P.C.

530 Virginia Road

P.O. Box 9133

Concord, Massachusetts 01742-9133

09/832,424

-2-

to prosecute the above-identified application and any divisions or continuations thereof and to conduct all business in the United States Patent and Trademark Office. All previously granted Powers of Attorney are hereby revoked.

A Statement under 37 C.F.R. §3.73(b) is submitted herewith.

All correspondence should be sent to Customer No. 021005, Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. Please direct all telephone calls to David E. Brook, Esq., at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

THOMAS JEFFERSON UNIVERSITY

By Steven E. McKenzie MD, PhD  
Steven E. McKenzie, M.D., Ph.D.

Title Vice President for Science Policy  
and Technology Transfer

Date 2/15/05



COPY

Docket No. 3589.1006-000  
(formerly 08321-0185 US1)

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Inventors: Carlo M. Croce and Frances K. Huebner

Application No.: 09/832,424 Filed: April 11, 2001

For: MUIR-TORRE-LIKE SYNDROME IN FHIT DEFICIENT MICE

Thomas Jefferson University, a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☒ the assignee of the entire right, title and interest in the patent application identified above; or
- B. ☐ an assignee together with [ ] of the entire right, title and interest in the patent application identified above.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012471, Frames 0634-0637, or a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

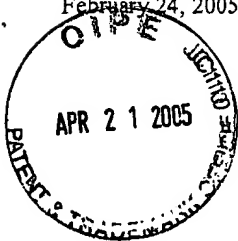
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature: Steven E. McKenzie MD, PhD

Name: Steven E. McKenzie, M.D., Ph.D.

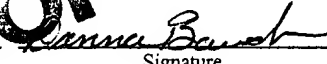
Title: Vice President for Science Policy and Technology Transfer

Date: 2/15/05



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Carlo M. Croce and Frances K. Huebner  
Application No.: 09/832,424      Group Art Unit: 1632  
Filed: April 11, 2001      Examiner: Michael C. Wilson  
Confirmation No.: 9552  
For: Muir-Torre-Like Syndrome in FHIT Deficient Mice

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
3/2/05	
Date	Signature
Donna Boudreau	
Typed or printed name of person signing certificate	

REQUEST FOR CHANGE OF DOCKET NUMBER  
AND CORRECTED FILING RECEIPT

Customer Correction Office  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants' attorney requests that the docket number of record for the above-referenced case be changed to 3589.1006-000. This request is made to better identify this application within our offices.

Please provide a corrected Filing Receipt indicating the new attorney docket number.

Please charge Deposit Account No. 08-0380 for any fees that may be due in this matter.  
One additional copy of this document is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By David E. Brook

David E. Brook

Registration No. 22,592

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date: 3/1/05